

# **INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

To Commissioner For Patents

Enclosed herewith is a Form PTO-1449, required copies of documents listed thereon, and a concise explanation of their relevance is described below or enclosed herewith per 37 CFR 1.97.

Application Number

Filing Date

First Named Inventor

Group Art Unit

Examiner Name

Attorney Docket Number

Concurrently

MILLER-SMITH

GB 000149

#3  
12/5/01  
11000 U.S. PTO  
09/975380

10/10/01

These documents may be relevant in that they have been:

- ☐ considered in drafting the specification of the above-referenced application;
- ☒ cited in the specification of the above-referenced application;
- ☐ previously submitted or cited in U.S. patent application(s) \_\_\_\_\_ which are relied on for an earlier effective filing date under 35 U.S.C. 120 (no copy required); or
- ☐ cited as an "X" or "Y" document in a foreign Patent Office search report on a foreign counterpart application, a copy of which report is also enclosed;  
☐ I hereby certify that these documents were first cited in any communication with a foreign Patent Office for a counterpart foreign application not more than three (3) months ago;
- ☐ otherwise a concise explanation of the relevance of each document is append hereto.  
☐ I hereby certify that not one of these documents was cited in any communication with a foreign Patent Office nor was any known to any individual designated in §1.56(c) more than three (3) months ago.

## **SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print Type)	Russell Gross	Registration No. (Attorney/Agent)	40,007
Signature		Date	10/11/01

## **CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office tel# \_\_\_\_\_ on the date below:

Name (Print Type)			
Signature		Date	

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV.7-80) PATENT AND TRADEMARK OFFICE				Atty. Docket No. GB 000140		Serial No.										
				Applicant RICHARD M. MILLER-SMITH												
				Filing Date CONCURRENTLY		Group										
<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)																
<b>U.S. PATENT DOCUMENTS</b>																
Ex. Int.		Document Number						Date	Name	Class	Sub- class	Filing Date If Approp.				
	AA	5	5	5	0	5	7	6	8/27/96	KLOSTERMAN	348	6				
	AB	5	7	1	9	6	3	7	2/17/98	OHKURA ET AL	348	564				
	AC	6	0	3	5	0	3	7	3/7/00	CHANEY	380	10				
	AD															
	AE															
	AF															
<b>FOREIGN PATENT DOCUMENTS</b>																
		Document Number							Date	Country	Class	Sub- class	Trans.			
														Yes	No	
	AG	W	0	9	9	6	6	7	2	5	12/23/99	PCT	HORN	5/782	X	
	AH	0	9	1	3	0	5	9	A	2	4/28/99	EUROPE	H04N	7/16	X	
	AI	0	9	1	2	0	5	9	A	2	4/28/99	EUROPE	H04N	7/16	X	
	AJ	0	8	4	9	9	4	8	A	2	6/24/98	EUROPE	H04N	7/16	X	
	AK	0	8	8	4	9	0	7	A	1	12/16/98	EUROPE	H04N	173	X	
OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)																
	AL															
	AM															
	AN															
Examiner										Date Considered						
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw li through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.																

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	AA																
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														Yes	No		
	AG	0	9	1	0	2	1	8	A	2	4/21/99	EUROPE	H04N	7/16	X		
	AH	0	9	1	2	0	5	8	A	2	4/28/99	EUROPE	H04N	7/16	X		
	AI	0	9	2	0	2	0	6	A	1	6/2/99	EUROPE	H04N	7/16	X		
	AJ	0	9	2	0	2	0	7	A	1	6/2/99	EUROPE	H04N	7/16	X		
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